Automating DBSCAN via Deep Reinforcement Learning

Ruitong Zhang
Beihang University
Beijing, China
rtzhang@buaa.edu.cn

Hao Peng*
Beihang University
Beijing, China
penghao@buaa.edu.cn

Yingtong Dou
University of Illinois Chicago
Chicago, USA
ydou5@uic.edu

Jia Wu
Macquarie University
Sydney, Australia
jia.wu@mq.edu.au

Qingyun Sun
Beihang University
Beijing, China
sunqy@buaa.edu.cn

Yangyang Li
NERC-RPP, CAEIT
Beijing, China
liyangyang@cetc.com.cn

Jingyi Zhang
Beihang University
Beijing, China
zhangjy@buaa.edu.cn

Philip S. Yu
University of Illinois Chicago
Chicago, USA
psyu@uic.edu

ABSTRACT

DBSCAN is widely used in many scientific and engineering fields because of its simplicity and practicality. However, due to its high sensitivity parameters, the accuracy of the clustering result depends heavily on practical experience. In this paper, we first propose a novel **D**eep **R**einforcement **L**earning guided automatic **DBSCAN** parameters search framework, namely **DRL-DBSCAN**. The framework models the process of adjusting the parameter search direction by perceiving the clustering environment as a Markov decision process, which aims to find the best clustering parameters without manual assistance. DRL-DBSCAN learns the optimal clustering parameter search policy for different feature distributions via interacting with the clusters, using a weakly-supervised reward training policy network. In addition, we also present a recursive search mechanism driven by the scale of the data to efficiently and controllably process large parameter spaces. Extensive experiments are conducted on five artificial and real-world datasets based on the proposed four working modes. The results of offline and online tasks show that the DRL-DBSCAN not only consistently improves DBSCAN clustering accuracy by up to 26% and 25% respectively, but also can stably find the dominant parameters with high computational efficiency. The code is available at https://github.com/RingBDStack/DRL-DBSCAN.

CCS CONCEPTS

• **Information systems** → **Clustering**; • **Computing methodologies** → *Artificial intelligence*.

*Corresponding author

CIKM '22, October 17–21, 2022, Atlanta, GA, USA

ACM ISBN 978-1-4503-9236-5/22/10…$15.00
https://doi.org/10.1145/3511808.3557245

KEYWORDS

Density-based clustering; hyperparameter search; deep reinforcement learning; recursive mechanism

ACM Reference Format:
Ruitong Zhang, Hao Peng, Yingtong Dou, Jia Wu, Qingyun Sun, Yangyang Li, Jingyi Zhang, and Philip S. Yu. 2022. Automating DBSCAN via Deep Reinforcement Learning. In *Proceedings of the 31st ACM Int'l Conference on Information and Knowledge Management (CIKM '22), Oct. 17–21, 2022, Atlanta, GA, USA.* ACM, New York, NY, USA, 11 pages. https://doi.org/10.1145/3511808.3557245

1 INTRODUCTION

Density-Based Spatial Clustering of Applications with Noise (DBSCAN) [15] is a typical density based clustering method that determines the cluster structure according to the tightness of the sample distribution. It automatically determines the number of final clusters according to the nature of the data, has low sensitivity to abnormal points, and is compatible with any cluster shape. In terms of application areas, benefiting from its strong adaptability to datasets of unknown distribution, DBSCAN is the preferred solution for many clustering problems, and has achieved robust performance in fields such as financial analysis [27, 54], commercial research [18, 52], urban planning [37, 43], seismic research [19, 31, 50], recommender system [25, 34], genetic engineering [20, 41], etc.

However, the two global parameters of DBSCAN, the distance of the cluster formation *Eps* and the minimum data objects required inside the cluster *MinPts*, that need to be manually specified, bring **Three** challenges to its clustering process. **First, parameters free challenge.** *Eps* and *MinPts* have considerable influence on the clustering effect, but it needs to be determined a priori. The method based on the k-distance [39, 40] estimates the possible values of the *Eps* through significant changes in the curve, but it still needs to manually formulate *Minpts* parameters in advance. Although some improved DBSCAN methods avoid the simultaneous adjustment of *Eps* and *MinPts* to varying degrees, but they also necessary to pre-determine the cutoff distance parameter [13], grid parameter [12], Gaussian distribution parameter [47] or fixed *Minpts* parameter [2, 26]. Therefore, the first challenge is how to perform

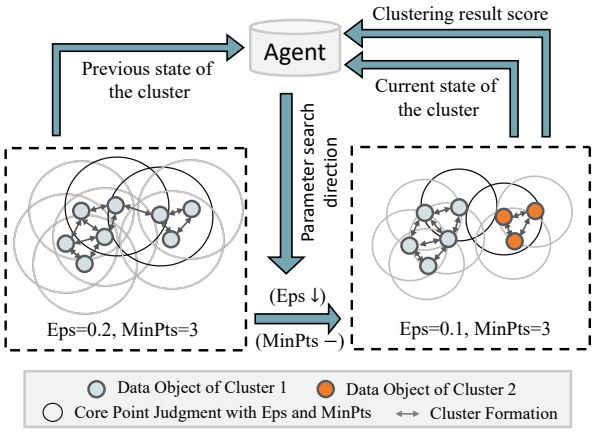


Figure 1: Markov process of parameter search. The agent uses the data as the environment, and determines the action to search by observing the clustering state and reward.

DBSCAN clustering without tuning parameters based on expert knowledge. **Second, adaptive policy challenge.** Due to the different data distributions and cluster characteristics in clustering tasks, traditional DBSCAN parameter searching methods based on fixed patterns [39, 40] encounter bottlenecks in the face of unconventional data problems. Moreover, hyperparameter optimization methods [5, 30, 36] using external clustering evaluation index based on label information as objective functions are not effective in the absence of data label information. The methods [35, 57] that only use the internal clustering evaluation index as the objective function, are limited by the accuracy problem, despite that they do not require label information. In addition, for streaming data that needs to be clustered continuously but the data distribution is constantly changing, the existing DBSCAN parametric search methods do not focus on how to use past experience to adaptively formulate search policies for newly arrived data. Thus, how to effectively and adaptively adjust the parameter search policy of the data and be compatible with the lack of label information is regarded as the second challenge. **Third, computational complexity challenge.** Furthermore, the parameter search is limited by the parameter space that cannot be estimated. Searching too many invalid parameters will increase the search cost [29], and too large search space will bring noise interfering with clustering accuracy [14]. Hence, how to quickly search for the optimal parameters while securing clustering accuracy is the third challenge that needs to be addressed.

In recent years, Deep Reinforcement Learning (DRL) [22, 38] has been widely used for tasks lacking training data due to its ability to learn by receiving feedback from the environment [44]. In this paper, to handle the problem of missing optimal DBSCAN parameter labeling and the challenges above, we propose **DRL-DBSCAN**, a novel, adaptive, recursive **D**eep **R**einforcement **L**earning **DBSCAN** parameter search framework, to obtain optimal parameters in multiple scenarios and tasks stably. We first take the cluster changes after each step of clustering as the observable state, the parameter adjustment direction as the action, and transform the parameter search process into a Markov decision process in which the DRL agents autonomously perceive the environment to make decisions (Fig. 1). Then, through weak supervision, we construct reward based on a small number of external clustering indices, and fuse the global state and the local states of multiple clusters based on the attention mechanism, to prompt the agents to learn how to adaptively perform the parameter search for different data. In addition, to improve the learning efficiency of the policy network, we optimize the base framework through a recursive mechanism based on agents with different search precisions to achieve the highest clustering accuracy of the parameters stably and controllable. Finally, considering the existence of DBSCAN clustering scenarios with no labels, few labels, initial data, and incremental data, we designed four working modes: retraining mode, continuous training mode, pre-training test mode, and maintenance test mode for compatibility. We extensively evaluate the parameter search performance of DRL-DBSCAN with four modes for the offline and online tasks on the public Pathbased, Compound, Aggregation, D31 and Sensor datasets. The results show that DRL-DBSCAN can break away from manually defined parameters, automatically and efficiently discover suitable DBSCAN clustering parameters, and has stability in multiple downstream tasks.

The contributions of this paper are summarized as follows: **(1)** The first DBSCAN parameter search framework guided by DRL is proposed to automatically select the parameter search directions. **(2)** A weakly-supervised reward mechanism and a local cluster state attention mechanism are established to encourage DRL agents to adaptively formulate optimal parameter search policy based on historical experience in the absence of annotations/labels to adapt the data distribution fluctuations. **(3)** A recursive DRL parameter search mechanism is designed to provide a fast and stable solution for large-scale parameter space problem. **(4)** Extensive experiments in both offline and online tasks are conducted to demonstrate that the four modes of DRL-DBSCAN have advantages in improving DBSCAN accuracy, stability, and efficiency.

2 PROBLEM DEFINITION

In this section, we give the definitions of DBSCAN clustering, parameter search of DBSCAN clustering, and parameter search in data stream clustering.

Definition 1. (DBSCAN clustering). The DBSCAN clustering is the process of obtaining clusters $C = \{c_1, ..., c_n, c_{n+1}, ...\}$ for all data objects $\{v_1, ..., v_j, v_{j+1}, ...\}$ in a data block $\mathcal{V}$ based on the parameter combination $\boldsymbol{P} = \{Eps, MinPts\}$. Here, Eps is the maximum distance that two adjacent objects can form a cluster, and $MinPts$ refers to the minimum number of adjacent objects within Eps that an object can be a core point. The formation of the clusters can be understood as the process of connecting the core points to its adjacent objects within Eps [15] (as shown in Fig. 1).

Definition 2. (Parameter search in offline DBSCAN clustering). Given the data block $\mathcal{V} = \{v_1, ..., v_j, v_{j+1}, ...\}$, the parameter search of DBSCAN is the process of finding the optimal parameter combination $\boldsymbol{P} = \{Eps, MinPts\}$ for clustering in all possible parameter spaces. Here, the feature set $\mathcal{X}$ of data objects in block $\mathcal{V}$ is $\{x_1, ..., x_j, x_{j+1}, ...\}$.

Definition 3. (Parameter search in online DBSCAN clustering). Given continuous and temporal T data blocks $\{\mathcal{V}_1, ..., \mathcal{V}_t,$

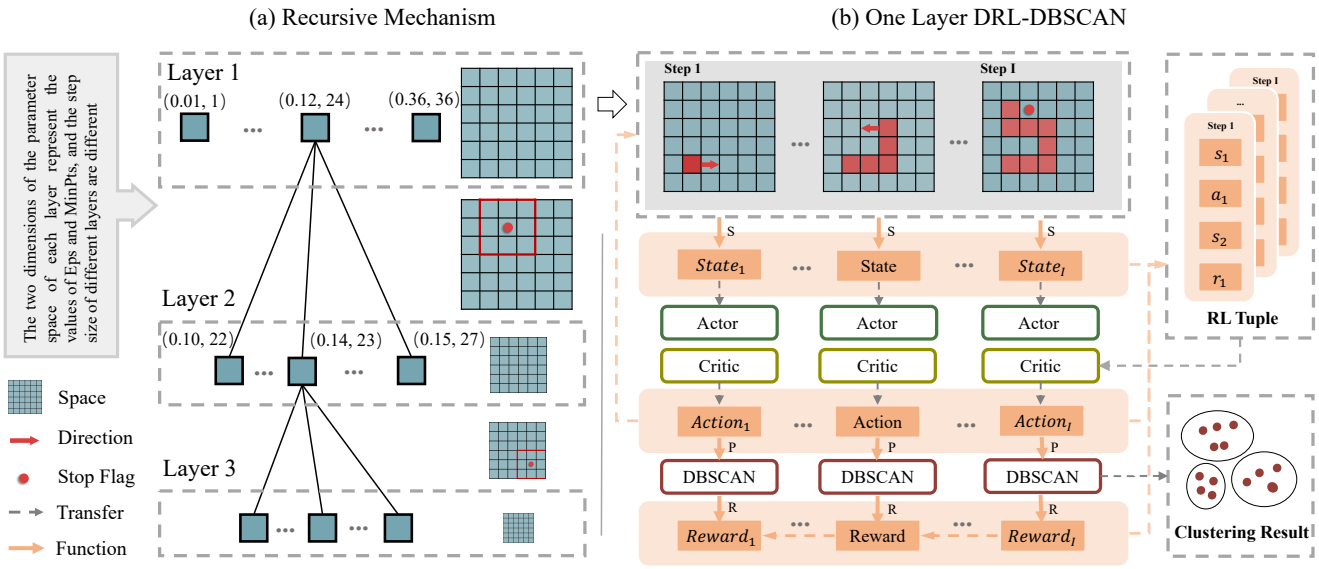


Figure 2: The core model of DRL-DBSCAN. (a) Recursive mechanism, takes 3-layer 6×6 parameter space as an example, with layerwise decreasing parameter space. (b) One layer DRL-DBSCAN, takes the search process in the 1-th layer of the recursive mechanism as an example, aims to obtain the optimal parameter combination in the parameter space of layer 1.

$\mathcal{V}_{t+1}, ...\}$ as the online data stream, we define the parameter search in online clustering as the process of obtaining the parameter combination $\boldsymbol{P}_t = \{Eps_t, MinPts_t\}$ of the data block $\mathcal{V}_t = \{v_{t,1}, ..., v_{t,j}, v_{t,j+1}, ...\}$ at each time $t \in T$.

3 DRL-DBSCAN FRAMEWORK

The proposed DRL-DBSCAN has a core model (Fig. 2) and four working modes (Fig. 3) that can be extended to downstream tasks. We firstly describe the basic Markov decision process for parameter search (Sec. 3.1), and the definition of the clustering parameter space and recursion mechanism (Sec. 3.2). Then, we explain the four DRL-DBSCAN working modes (Sec. 3.3).

3.1 Parameter Search with DRL

Faced with various clustering tasks, the fixed DBSCAN parameter search policy no longer has flexibility. We propose an automatic parameter search framework DRL-DBSCAN based on Deep Reinforcement Learning (DRL), in which the core model can be expressed as a Markov Decision Process $MDP(\mathcal{S}, \mathcal{A}, \mathcal{R}, \mathcal{P})$ including state set, action space, reward function and policy optimization algorithm [42]. This process transforms the DBSCAN parameter search process into a maze game problem [8, 56] in the parameter space, aiming to train an agent to search for the end point parameters step by step from the start point parameters by interacting with the environment, and take the end point (parameters in the last step) as the final search result of an episode of the game (as shown in Fig. 2). Specifically, the agent regards the parameter space and DBSCAN clustering algorithm as the environment, the search position and clustering result as the state, and the parameter adjustment direction as the action. In addition, a small number of samples are used to reward the agent with exceptional behavior in a weakly supervised manner. We optimize the policy of agent based on the Actor-Critic [33] architecture. Specifically, the search process for episode $e (e = 1, 2, ...)$ is formulated as follows:

• ***State:*** Since the state needs to represent the search environment at each step as accurately and completely as possible, we consider building the representation of the state from two aspects.

Firstly, for the overall searching and clustering situation, we use a 7-tuple to describe the global state of the i-th step $(i = 1, 2, ...)$:

$$\boldsymbol{s}_{global}^{(e)(i)} = \boldsymbol{P}^{(e)(i)} \cup \mathcal{D}_b^{(e)(i)} \cup \{R_{cn}^{(e)(i)}\}. \tag{1}$$

Here, $\boldsymbol{P}^{(e)(i)} = \{Eps^{(e)(i)}, MinPts^{(e)(i)}\}$ is the current parameter combination. $\mathcal{D}_b^{(e)(i)}$ is the set of quaternary distances, including the distances of $Eps^{(e)(i)}$ from its space boundaries $B_{Eps,1}$ and $B_{Eps,2}$, the distances of $MinPts^{(e)(i)}$ from its boundaries $B_{MinPts,1}$ and $B_{MinPts,2}$, $R_{cn}^{(e)(i)} = \frac{|C^{(e)(i)}|}{|\mathcal{V}|}$ is the ratio of the number of clusters $|C^{(e)(i)}|$ to the total object number of data block $|\mathcal{V}|$. Here, the specific boundaries of parameters will be defined in Sec. 3.2.

Secondly, for the description of the situation of each cluster, we define the $\{d+2\}$-tuple of the i-th local state of cluster $\boldsymbol{c}_n \in C$ as:

$$\boldsymbol{s}_{local,n}^{(e)(i)} = \mathcal{X}_{cent,n}^{(e)(i)} \cup \{D_{cent,n}^{(e)(i)}, |\boldsymbol{c}_n^{(e)(i)}|\}. \tag{2}$$

Here, $\mathcal{X}_{cent,n}^{(e)(i)}$ is the central object feature of the $\boldsymbol{c}_n$, and d is its feature dimension. $D_{cent,n}^{(e)(i)}$ is the Euclidean distance from the cluster center object to the center object of the entire data block. $|\boldsymbol{c}_n^{(e)(i)}|$ means the number of objects contained in cluster $\boldsymbol{c}_n$.

Considering the change of the number of clusters at different steps in the parameter search process, we use the Attention Mechanism [48] to encode the global state and multiple local states into a

fixed-length state representation:

$$\boldsymbol{s}^{(e)(i)} = \sigma\Big(\boldsymbol{F}_G(\boldsymbol{s}^{(e)(i)}_{global}) \parallel \sum_{\boldsymbol{c}_n \in C} \boldsymbol{\alpha}_{att,n} \cdot \boldsymbol{F}_L(\boldsymbol{s}^{(e)(i)}_{local,n})\Big), \quad (3)$$

where $\boldsymbol{F}_G$ and $\boldsymbol{F}_L$ are the Fully-Connected Network (FCN) for the global state and the local state, respectively. σ represents the ReLU activation function. And || means the operation of splicing. $\alpha_{att,n}$ is the attention weight of cluster $\boldsymbol{c}_n$, which is formalized as follows:

$$\boldsymbol{\alpha}_{att,n} = \frac{\sigma\Big(\boldsymbol{F}_S\big(\boldsymbol{F}_G(\boldsymbol{s}^{(e)(i)}_{global}) \parallel \boldsymbol{F}_L(\boldsymbol{s}^{(e)(i)}_{local,n})\big)\Big)}{\sum_{\boldsymbol{c}_n \in C} \sigma\Big(\boldsymbol{F}_S\big(\boldsymbol{F}_G(\boldsymbol{s}^{(e)(i)}_{global}) \parallel \boldsymbol{F}_L(\boldsymbol{s}^{(e)(i)}_{local,n})\big)\Big)}. \quad (4)$$

We concatenate the global state with the local state of each cluster separately, input it into a fully connected network $\boldsymbol{F}_S$ for scoring, and use the normalized score of each cluster as its attention coefficient. This approach establishes the attention to the global search situation when local clusters are expressed. At the same time, it also makes different types of cluster information have different weights in the final state expression, which increases the influence of important clusters on the state.

• ***Action:*** The action $\boldsymbol{a}^{(e)(i)}$ for the i-th step is the search direction of parameter. We define the action space $\mathcal{A}$ as $\{left, right, down, up, stop\}$, where $left$ and $right$ means to reduce or increase the Eps parameter, $down$ and up means to reduce or increase the $MinPts$ parameter, and $stop$ means to stop searching. Specifically, we build an Actor [33] as the policy network to decide action $\boldsymbol{a}^{(e)(i)}$ based on the current state $\boldsymbol{s}^{(e)(i)}$:

$$\boldsymbol{a}^{(e)(i)} = Actor(\boldsymbol{s}^{(e)(i)}). \quad (5)$$

Here, the $Actor$ is a three-layer Multi-Layer Perceptron (MLP).

In addition, the action-parameter conversion process from the i-th step to the $i+1$-th step is defined as follows.

$$\boldsymbol{P}^{(e)(i)} \xrightarrow{\boldsymbol{a}^{(e)(i)},\, \boldsymbol{\theta}} \boldsymbol{P}^{(e)(i+1)}. \quad (6)$$

Here, $\boldsymbol{P}^{(e)(i)}$ and $\boldsymbol{P}^{(e)(i+1)}$ are the parameter combinations $\{Eps^{(e)(i)}, MinPts^{(e)(i)}\}$ and $\{Eps^{(e)(i+1)}, MinPts^{(e)(i+1)}\}$ of the i-th step and the $i+1$-th step, respectively. $\boldsymbol{\theta}$ is the increase or decrease step size of the action. We discuss the step size in detail in Sec. 3.2. Note that when an action causes a parameter to go out of bounds, the parameter is set to the boundary value and the corresponding boundary distance is set to -1 in the next step.

• ***Reward:*** Considering that the exact end point parameters are unknown but rewards need to be used to motivate the agent to learn a better parameter search policy, we use a small number of samples of external metrics as the basis for rewards. We define the immediate reward function of the i-th step as:

$$\mathcal{R}(\boldsymbol{s}^{(e)(i)}, \boldsymbol{a}^{(e)(i)}) = NMI\big(DBSCAN(\mathcal{X}, \boldsymbol{P}^{(e)(i+1)}), \mathcal{Y}'\big). \quad (7)$$

Here, $NMI(,)$ stands for the external metric function, Normalized Mutual Information (NMI) [16] of $DBSCAN$ clustering. $\mathcal{X}$ is the feature set. $\mathcal{Y}'$ is a set of partial labels of the data block. Note that the labels are only used in the training process, and the testing process performs search on unseen data blocks without labels.

In addition, the excellent parameter search action sequence for one episode is to adjust the parameters in the direction of the optimal parameters, and stop the search at the optimal parameters. Therefore, we consider using both the maximum immediate reward for subsequent steps and the endpoint immediate reward as the reward in the i-th step:

$$\boldsymbol{r}^{(e)(i)} = \beta \cdot \max\big\{\mathcal{R}(\boldsymbol{s}^{(e)(m)}, \boldsymbol{a}^{(e)(m)})\big\}|_{m=i}^{I} + \delta \cdot \mathcal{R}(\boldsymbol{s}^{(e)(I)}, \boldsymbol{a}^{(e)(I)}), \quad (8)$$

where $\mathcal{R}(\boldsymbol{s}^{(e)(I)}, \boldsymbol{a}^{(e)(I)})$ is the immediate rewards for the I-th step end point parameters. And max is used to calculate the future maximum immediate reward before stopping the search in current episode e. β and δ are the impact factors of reward, where $\beta = 1 - \delta$.

• ***Termination:*** We determine the termination conditions for a complete episode search process as follows:

$$\begin{cases} \min(\mathcal{D}_b^{(e)(i)}) < 0, & \text{Out of bounds stop,} \\ i >= I_{max}, & \text{Timeout stop,} \\ \boldsymbol{a}^{(e)(i)} = stop, where\ i \geq 2, & \text{Active stop.} \end{cases} \quad (9)$$

Here, I_{max} is the maximum search step size in an episode.

• ***Optimization:*** The parameter search process in the episode e is expressed as: 1) observe the current state $\boldsymbol{s}^{(e)(i)}$ of DBSCAN clustering; 2) and predict the action $\boldsymbol{a}^{(e)(i)}$ of the parameter adjustment direction based on $\boldsymbol{s}^{(e)(i)}$ through the $Actor$; 3) then, obtain the new state $\boldsymbol{s}^{(e)(i+1)}$; 4) repeat the above process until the end of episode, and get reward $\boldsymbol{r}^{(e)(i)}$ for each step. The core element of the i-th step is extracted as:

$$\mathcal{T}^{(e)(i)} = (\boldsymbol{s}^{(e)(i)}, \boldsymbol{a}^{(e)(i)}, \boldsymbol{s}^{(e)(i+1)}, \boldsymbol{r}^{(e)(i)}). \quad (10)$$

We put $\mathcal{T}$ of each step into the memory buffer and sample M core elements to optimize the policy network $Actor$, and define the key loss functions as follows:

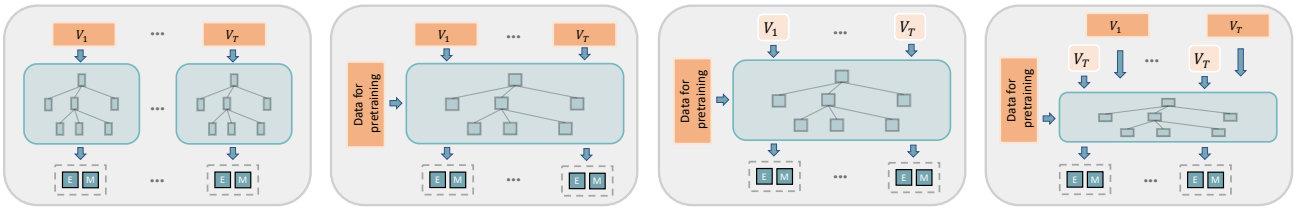
$$\mathcal{L}_c = \sum\nolimits_{\mathcal{T} \in buffer}^{M} \big(\boldsymbol{r}^{(e)(i)} + \gamma \cdot Critic(\boldsymbol{s}^{(e)(i+1)}, \boldsymbol{a}^{(e)(i+1)}) - Critic(\boldsymbol{s}^{(e)(i)}, \boldsymbol{a}^{(e)(i)})\big)^2, \quad (11)$$

$$\mathcal{L}_a = -\frac{\sum_{\mathcal{T} \in buffer}^{M} Critic\big(\boldsymbol{s}^{(e)(i)}, Actor(\boldsymbol{s}^{(e)(i)})\big)}{M}. \quad (12)$$

Here, we define a three-layer MLP as the $Critic$ to learn the action value of state [33], which is used to optimize the $Actor$. And γ means reward decay factor. Note that we use the policy optimization algorithm named Twin Delayed Deep Deterministic strategy gradient algorithm (TD3) [22] in our framework, and it can be replaced with other DRL policy optimization algorithms [33, 38].

3.2 Parameter Space and Recursion Mechanism

In this section, we will define the parameter space of the agent proposed in the previous section and the recursive search mechanism based on different parameter spaces. Firstly, in order to deal with the fluctuation of the parameter range caused by different data distributions, we normalize the data features, thereby transforming the maximum Eps parameter search range into the $(0, \sqrt{d}]$ range. Unlike Eps, the $MinPts$ parameter must be an integer greater than 0. Therefore, we propose to delineate a coarse-grained $MinPts$ maximum parameter search range according to the size or dimension of the dataset. Subsequently, considering the large parameter space affects the efficiency when performing high-precision parameter search, we propose to use a recursive mechanism to perform a progressive search. The recursive process is shown in Fig. 2. We narrow the search range and increase the search precision layer by layer, and assign a parameter search agent $agent^{(I)}$ defined in



(a) Retraining Mode (b) Continuous Training Mode (c) Pretraining Testing Mode (d) Maintenance Testing Mode

Figure 3: Four working modes. Dark orange squares refer to partially labeled data, and light orange squares are unlabeled data.

Sec. 3.1 to each layer l to search for the optimal parameter combination $\boldsymbol{P}_o^{(l)} = \{Eps_o^{(l)}, MinPts_o^{(l)}\}$ under the requirements of the search precision and range of the corresponding layer. The minimum search boundary $B_{p,1}^{(l)}$ and maximum search boundary $B_{p,2}^{(l)}$ of parameter $p \in \{Eps, MinPts\}$ in the l-th layer ($l = 1, 2, ...$) are defined as:

$$\begin{aligned} B_{p,1}^{(l)} &: \max\left\{B_{p,1}^{(0)},\ p_o^{(l-1)} - \frac{\pi_p}{2} \cdot \theta_p^{(l)}\right\}, \\ B_{p,2}^{(l)} &: \min\left\{p_o^{(l-1)} + \frac{\pi_p}{2} \cdot \theta_p^{(l)}, B_{p,2}^{(0)}\right\}. \end{aligned} \tag{13}$$

Here, π_p is the number of searchable parameters in the parameter space of parameter p in each layer. $B_{p,1}^{(0)}$ and $B_{p,2}^{(0)}$ are the space boundaries of parameter p in the 0-th layer, which define the maximum parameter search range. $p_o^{(l-1)} \in \boldsymbol{P}_o^{(l-1)}$ is the optimal parameter searched by the previous layer, and $p_o^{(0)} \in \boldsymbol{P}_o^{(0)}$ is the midpoint of $B_{p,1}^{(0)}$ and $B_{p,2}^{(0)}$. In addition, $\theta_p^{(l)}$ is the search step size, that is, the search precision of the parameter p in the l-th layer, which is defined as follows:

$$\theta_p^{(l)} = \begin{cases} \frac{\theta_p^{(l-1)}}{\pi_p}, & if\ p = Eps; \\ \max\left\{\lfloor \frac{\theta_p^{(l-1)}}{\pi_p} + \frac{1}{2} \rfloor,\ 1\right\}, & otherwise. \end{cases} \tag{14}$$

Here, $\theta_p^{(l-1)}$ is the step size of the previous layer and $\theta_p^{(0)}$ is the size of the parameter maximum search range. $\lfloor\rfloor$ means round down.

Complexity Discussion: It is known that the minimum search step size of the recursive structure with layers L is $\theta_p^{(L)}$. Then the computational complexity when there is no recursive structure is $O(N)$, where N the size of the parameter space $\theta_p^{(0)}/\theta_p^{(L)} = (\pi_p)^L$. And DRL-DBSCAN with L-layer recursive structure only takes $L \cdot (\pi_p)$, reducing the complexity from $O(N)$ to $O(log\ N)$.

3.3 Proposed DRL-DBSCAN

Algorithm 1 shows the process of the proposed DRL-DBSCAN core model. Given a data block $\mathcal{V}$ with partial label $\mathcal{Y}'$, the training process repeats the parameter search process (Lines 6-10) for multiple episodes at each layer to optimize the agent (Line 14). In this process, we update the optimal parameter combination (Line 15 and Line 17 based on the immediate reward (Eq. (7)). In order to improve efficiency, we build a hash table to record DBSCAN clustering results of searched parameter combinations, thereby no additional operations are required for repeated paths. In addition, we established early stopping mechanisms to speed up the training process

Algorithm 1: The core model of DRL-DBSCAN

Input: The features $\mathcal{X}$ and partial labels $\mathcal{Y}'$ of block $\mathcal{V}$;
Agents for each layer: $\{agent^{(l)}\}|_{l=1}^{L_{max}}$;
Output: Optimal parameter combination: $\boldsymbol{P}_o$;

1 **for** $l = 1, ..., L_{max}$ **do**
2 Initialize parameter space via Eq. (13) and Eq. (14);
3 **for** $e = 1, ..., E_{max}$ **do**
4 Initialize $\boldsymbol{P}^{(e)(0)}$ by $\boldsymbol{P}_o^{(l-1)}$;
5 **for** $i = 1, ..., I_{max}$ **do**
6 Obatin the current state $\boldsymbol{s}^{(e)(i)}$ via Eq. (3);
7 Choose the action $\boldsymbol{a}^{(e)(i)}$ via Eq. (5);
8 Get new parameters $\boldsymbol{P}^{(e)(i)}$ via Eq. (6);
9 Clustering using the current parameters;
10 Termination judgment via Eq. (9);
11 **if** *is TRAIN* **then**
12 Get rewards $\boldsymbol{r}^{(e)(i)}$ via Eq. (8), $\forall i \in \{1, I\}$;
13 Store $\mathcal{T}^{(e)(i)}$ in buffer via Eq. (10), $\forall i \in \{1, I\}$;
14 Sampling and learning via Eq. (12) and Eq. (11);
15 Update optimal parameter combination $\boldsymbol{P}_o^{(l)}$;
16 Early stop judgment;
17 Update optimal parameter combination $\boldsymbol{P}_o$;
18 Early stop judgment;

when the optimal parameter combination does not change (Line 16 and Line 18). It is worth noting that the testing process uses the trained agents to search directly with one episode, and does not set the early stop. Furthermore, the testing process does not need labels, and the end point parameters of the unique episode of the last layer are used as the final optimal parameter combination.

In order to better adapt to various task scenarios, we define four working modes of DRL-DBSCAN as shown in Fig. 3. Their corresponding definitions are as follows: **(1) Retraining Mode (DRL_{re}).** The optimal parameters are searched based on the training process. When the dataset changes, the agent at each layer is reinitialized. **(2) Continuous Training Mode (DRL_{con}).** The agents are pretrained in advance. When the dataset changes, continue searching based on the training process using the already trained agents. **(3) Pretraining Testing Mode (DRL_{all}).** The agents are pre-trained in advance. When the dataset changes, searching directly based on the testing process without labels. **(4) Maintenance Testing Mode (DRL_{one}).** The agents are pre-trained in advance. When the

dataset changes, searching directly based on the testing process without labels. After pre-training, regular maintenance training is performed with labeled historical data.

4 EXPERIMENTS

In this section, we conduct experiments mainly including the following: **(1)** performance comparison over DRL-DBSCAN and baseline in offline tasks (Sec. 4.2); **(2)** performance analysis of DRL-DBSCAN and its variants, and advantage comparison between four working modes in online tasks (Sec. 4.3); **(3)** sensitivity analysis of hyperparameters and their impact on the model (Sec. 4.4).

4.1 Experiment Setup

Datasets. To fully analyze our framework, the experimental dataset consists of 4 artificial clustering benchmark datasets and 1 public real-world streaming dataset (Table 1). The benchmark datasets [21] are the $2D$ shape sets, including: **Aggregation** [23], **Compound** [55], **Pathbased** [11], and **D31** [49]. Furthermore, the real-world streaming dataset **Sensor** [58] comes from consecutive information collected from 54 sensors deployed by the Intel Berkeley Research Lab. We use a subset of $80,864$ for experiments and divide these objects into 16 data blocks ($\mathcal{V}_1, ..., \mathcal{V}_{16}$) as an online dataset.

Baseline and Variants. We compare proposed DRL-DBSCAN with three types of baselines: (1) traditional hyperparameter search schemes: random search algorithm **Rand** [6], Bayesian optimization based on Tree-structured Parzen estimator algorithm **BO-TPE** [5]; (2) meta-heuristic optimization algorithms: the simulated annealing optimization **Anneal** [32], particle swarm optimization **PSO** [46], genetic algorithm **GA** [36], and differential evolution algorithm **DE** [45]; (3) existing DBSCAN parameter search methods: **KDist** (V-DBSCAN) [39] and **BDE**-DBSCAN [30]. The detailed introduction to the above methods are given in Sec. 5. We also implement four variants of DRL-DBSCAN to analysis of state, reward and recursive mechanism settings in Sec. 3.1. Compared with DRL-DBSCAN, DRL_{no-att} does not join local state based on the attention mechanism, $DRL_{only-max}$ only uses the maximum future immediate reward as final reward, DRL_{recu} has no early stop mechanism, and DRL_{recu} has no recursion mechanism.

Implementation Details. For all baselines, we use open-source implementations from the benchmark library Hyperopt [7] and Scikit-opt [1], or provided by the author. All experiments are conducted on Python 3.7, 36 core 3.00GHz Intel Core $i9$ CPU, and NVIDIA RTX $A6000$ GPUs.

Experimental Setting. The evaluation of DRL-DBSCAN is based on the four working modes proposed in Sec. 3.3. Considering the randomness of most algorithms, all experimental results we report are the means or variances of 10 runs with different seeds (except KDist because it's heuristic and doesn't involve random problems). Specifically, for the pre-training and maintenance training processes of DRL-DBSCAN, we set the maximum number of episodes E_{max} to 50, and do not set the early stop mechanism. For the training process for searching, we set the maximum number of episodes E_{max} to 15. In offline tasks and online tasks, the maximum number of recursive layers L_{max} is 3 and 6, respectively, and the maximum search boundary in the 0-th layer of $MinPts$ $B^{(0)}_{MinPts,2}$ is 0.25 and 0.0025 times the size of block, respectively. In addition, we use the unified label training proportion 0.2, the Eps parameter space size π_{Eps} 5, the $MinPts$ parameter space size π_{MinPts} 4, the maximum number of search steps I_{max} 30 and the reward factor δ 0.2. The FCN and MLP dimensions are uniformly set to 32 and 256, the reward decay factor γ of Critic is 0.1, and the number of samples M from the buffer is 16. Furthermore, all baselines use the same objective function (Eq. (7)), parameter search space, and parameter minimum step size as DRL-DBSCAN if they support the settings.

Table 1: Characteristics of Datasets.

Type	Dataset	Classes	Size	Dim.	Time
Offline	Pathbased	3	300	2	×
	Compound	6	399	2	×
	Aggregation	7	788	2	×
	D31	31	3100	2	×
Online	Sensor	54	80640	5	✓

Evaluation Metrics. We evaluate the experiments in terms of accuracy and efficiency. Specifically, we measure the clustering accuracy based on normalized mutual information (NMI) [16] and adjusted rand index (ARI) [51]. For the efficiency, we use the consumed DBSCAN clustering rounds as the measurement.

4.2 Offline Evaluation

Offline evaluation is based on four artificial benchmark datasets. Since there is no data for pre-training in offline scenarios, we only compare the parameter search performance of DRL-DBSCAN using the retraining mode DRL_{re} with baselines.

Accuracy and Stability Analysis. In Table 2, we summarize the means and variances of the NMI and ARI corresponding to the optimal DBSCAN parameter combinations that can be searched by DRL_{re} and baselines within 30 clustering rounds. It can be seen from the mean accuracy results of ten runs that in the Pathbased, Compound, Aggregation, and D31 datasets, DRL_{re} can effectively improve the performance of 4% & 6%, 3% & 3%, 20% & 26% and 5% & 26% on NMI and ARI, relative to the best performing baselines. At the same time, as the dataset size increases, the advantage of DRL_{re} compared to other baselines in accuracy gradually increases. Furthermore, the experimental variances shows that DRL_{re} improves stability by 4% & 6%, 1% & 1%, 9% & 13% and 2% & 17% on NMI and ARI, relative to the best performing baselines. The obvious advantages in terms of accuracy and stability indicate that DRL_{re} can stably find excellent parameter combinations in multiple rounds of parameter search, compared with other hyperparameter optimization baselines under the same objective function. Besides, DRL_{re} is not affected by the size of the dataset. Among all the baselines, PSO and DE are relatively worse in terms of accuracy, because their search in the parameter space is biased towards continuous parameters, requiring more rounds to achieve optimal results. BO-TPE learns previously searched parameter combinations through a probabilistic surrogate model and strikes a balance between exploration and exploitation, with significant advantages over other baselines. The proposed DRL-DBSCAN not only narrows the search space of parameters of each layer progressively through a recursive structure, but also learns historical experience, which is more suitable for searching DBSCAN clustering parameter combinations.

Table 2: Offline evaluation performance. The best results are bolded and second-best are underlined.

Dataset	Metrics	Traditional		Meta-heuristic				Dedicated				
		Rand	BO-TPE	Anneal	PSO	GA	DE	KDist	BDE	DRL_{re}	(Mean)	(Var.)
Pathbased	NMI	.66±.23	.78±.07	.65±.24	.60±.28	.68±.19	.22±.28	.40±.- -	.51±.33	**.82±.03**	↑ .04	↓ .04
	ARI	.63±.21	.79±.10	.66±.25	.55±.38	.67±.26	.18±.28	.38±.- -	.48±.40	**.85±.04**	↑ .06	↓ .06
Compound	NMI	.75±.05	.70±.24	.52±.36	.46±.34	.70±.25	.33±.35	.39±.- -	.72±.25	**.78±.04**	↑ .03	↓ .01
	ARI	.73±.04	.68±.24	.51±.35	.42±.36	.68±.24	.31±.34	.39±.- -	.70±.25	**.76±.03**	↑ .03	↓ .01
Aggregation	NMI	.76±.11	.72±.14	.75±.27	.59±.35	.75±.15	.28±.37	.60±.- -	.63±.28	**.96±.02**	↑ .20	↓ .09
	ARI	.68±.16	.63±.19	.70±.27	.51±.37	.68±.19	.25±.35	.52±.- -	.54±.28	**.96±.03**	↑ .26	↓ .13
D31	NMI	.31±.33	.23±.24	.17±.19	.36±.33	.23±.20	.24±.26	.07±.- -	.41±.36	**.67±.02**	↑ .26	↓ .17
	ARI	.14±.26	.04±.05	.03±.04	.09±.22	.04±.04	.06±.09	.00±.- -	.21±.28	**.26±.02**	↑ .05	↓ .02

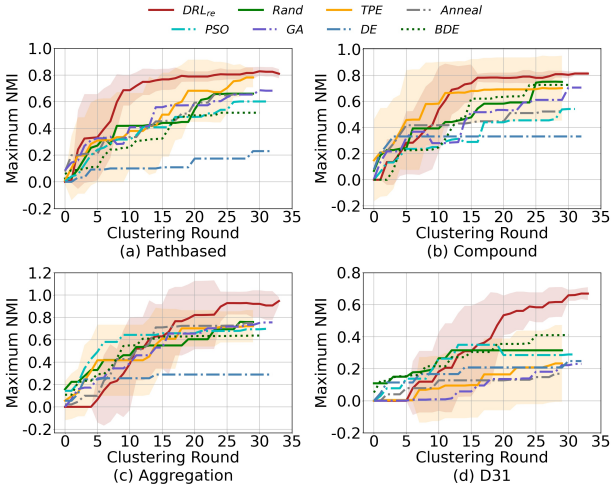


Figure 4: Offline clustering efficiency comparison.

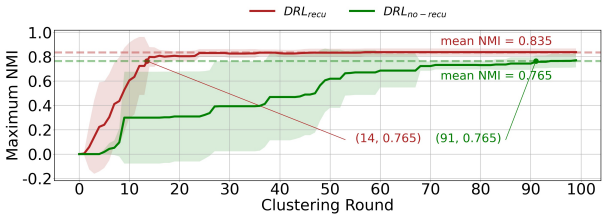


Figure 5: Efficiency comparison of recursive mechanism.

Efficiency Analysis. We present the average historical maximum NMI results for DRL_{re} and all baselines when consuming a different number of clustering rounds in Fig. 4. The shade in the figure represents the fluctuation range (variance) of NMI in multiple runs (only BO-TPE is also shown with shade as the representative in the baselines). The results suggest that in the four datasets, DRL_{re} can maintain a higher speed of finding better parameters than baselines, and fully surpass all baselines after the 5-th, 12-th, 17-th, and 16-th rounds, respectively. In the Pathbased dataset, the clustering rounds of DRL_{re} is 2.49 times faster than that of BO-TPE when the NMI of the parameter combination searched by DRL_{re} reaches 0.72. Besides, the results show that, with the increase of clustering rounds, the shadow area of the DRL_{re} curve gradually decreases, while the shadow range of BO-TPE tends to be constant. The above observation also demonstrates the good stability of the search when the number of rounds of DRL-DBSCAN reaches a specific number.

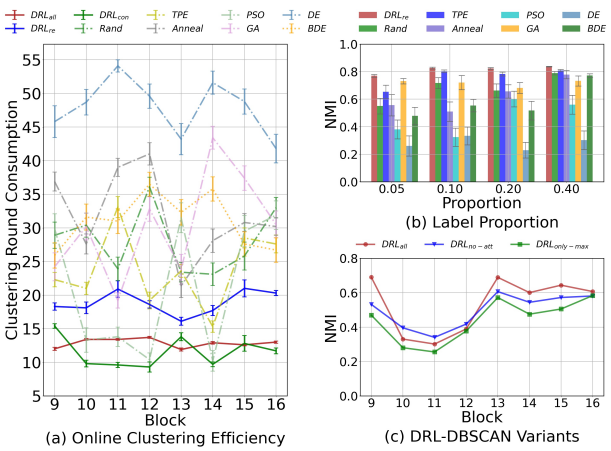


Figure 6: Comparison in online and offline tasks.

DRL-DBSCAN Variants. We compare the DRL_{recu} with $DRL_{no-recu}$ which without the recursion mechanism in Fig. 5. Note that, for DRL_{recu}, we turn off the early stop mechanism so that it can search longer to better compare with $DRL_{no-recu}$. The results show that the first 100 episodes of the recursive mechanism bring the maximum search speedup ratio of 6.5, which effectively proves the contribution of the recursive mechanism in terms of efficiency.

Label Proportion Comparison. Considering the influence of the proportion of labels participating in training on the DRL-DBSCAN training process's reward and the objective function of other baselines, we conduct experiments with different label proportions in Pathbased, and the results are shown in Fig. 6(b) (the vertical lines above the histograms are the result variances). It can be seen that under different label proportions, the average NMI scores of DRL_{re} are better than baselines, and the variance is also smaller. Additionally, as the proportion of labels decreases, the NMI scores of most of the baselines drop sharply, while the DRL_{re} tends to be more or less constant. These stable performance results demonstrate the adaptability of DRL-DBSCAN to label proportion changes.

4.3 Online Evaluation

The learnability of RL enables DRL-DBSCAN to better utilize past experience in online tasks. To this end, we comprehensively evaluate four working modes of DRL-DBSCAN on a streaming dataset, Sensor. Specifically, the first eight blocks of the Sensor are used for the pre-training of DRL_{con}, DRL_{all} and DRL_{one}, and the last

Table 3: Online evaluation NMI for training-based modes. The best results are bolded and second-best are underlined.

Blocks	Rand	BO-TPE	Anneal	PSO	GA	DE	KDist	BDE	DRL_{re}	DRL_{con}	(Mean)	(Var.)
$\mathcal{V}_9$	.67±.24	.83±.03	.53±.37	.74±.10	.65±.29	.19±.31	.30±.- -	.70±.21	.86±.01	**.87±.00**	↑ .04	↓ .03
$\mathcal{V}_{10}$	.36±.15	.50±.07	.45±.17	.50±.20	.43±.15	.15±.17	.20±.- -	.37±.20	.50±.27	**.64±.06**	↑ .14	↓ .01
$\mathcal{V}_{11}$	.40±.06	.43±.10	.32±.26	.55±.16	.43±.08	.09±.12	.12±.- -	.47±.16	.60±.16	**.68±.02**	↑ .13	↓ .04
$\mathcal{V}_{12}$	.44±.23	.62±.16	.27±.35	.66±.07	.50±.24	.19±.28	.11±.- -	.41±.31	**.75±.01**	.72±.10	↑ .09	↓ .06
$\mathcal{V}_{13}$	.84±.06	.87±.04	.72±.38	.68±.26	.76±.17	.38±.38	.62±.- -	.68±.23	**.92±.02**	**.92±.02**	↑ .08	↓ .02
$\mathcal{V}_{14}$	.74±.12	.82±.04	.54±.37	.63±.24	.54±.24	.25±.25	.55±.- -	.56±.25	.76±.25	**.85±.00**	↑ .03	↓ .04
$\mathcal{V}_{15}$	.68±.24	.76±.04	.66±.34	.55±.25	.62±.27	.28±.32	.36±.- -	.72±.14	**.85±.07**	.83±.13	↑ .17	-
$\mathcal{V}_{16}$	.73±.13	.77±.09	.77±.10	.40±.35	.67±.22	.49±.31	.11±.- -	.67±.19	**.86±.01**	**.86±.00**	↑ .09	↓ .09

Table 4: Online evaluation NMI for testing-based modes. The best results are bolded and second-best are underlined.

Blocks	Rand	BO-TPE	Anneal	PSO	GA	DE	KDist	BDE	DRL_{all}	DRL_{one}	(Mean)	(Var.)
$\mathcal{V}_9$	.34±.31	.49±.33	.22±.34	.14±.29	.27±.37	.10±.26	.30±.- -	.54±.36	**.68±.30**	**.68±.30**	↑ .19	-
$\mathcal{V}_{10}$	.11±.14	.28±.17	.17±.21	.24±.01	.20±.21	.12±.18	.20±.- -	.28±.24	**.33±.16**	**.33±.15**	↑ .05	-
$\mathcal{V}_{11}$	.16±.15	.29±.24	.23±.18	**.33±.29**	.23±.23	.02±.05	.12±.- -	.21±.22	.30±.13	.32±.08	-	-
$\mathcal{V}_{12}$	.23±.25	.19±.24	.10±.22	.38±.26	.34±.27	.03±.06	.11±.- -	.29±.27	.38±.17	**.46±.09**	↑ .08	-
$\mathcal{V}_{13}$	.58±.35	.70±.24	.47±.40	.44±.31	.36±.28	.08±.14	.62±.- -	.32±.26	.68±.34	**.70±.27**	-	-
$\mathcal{V}_{14}$	.36±.19	.34±.28	.47±.35	.37±.33	.27±.25	.11±.24	.55±.- -	.43±.28	.60±.27	**.62±.16**	↑ .15	↓ .03
$\mathcal{V}_{15}$	.45±.35	.38±.36	.37±.33	.30±.34	.36±.32	.09±.18	.36±.- -	.42±.31	.64±.28	**.70±.03**	↑ .25	↓ .15
$\mathcal{V}_{16}$	.22±.32	.45±.24	.32±.29	.19±.27	.36±.27	.12±.20	.11±.- -	.59±.23	**.60±.27**	.53±.20	↑ .01	-

eight blocks are used to compare the results with baselines. Since baselines cannot perform incremental learning for online tasks, we initialize the algorithm before each block starts like DRL_{re}. In addition, both experiments of DRL_{all} and DRL_{one} use unseen data for unlabeled testing, whereas DRL_{one} uses the labeled historical data for model maintenance training after each block ends testing.

Accuracy and Stability Analysis. We give the performance comparison of training-based modes (DRL_{re} and DRL_{con}) and testing-based modes (DRL_{all} and DRL_{one}) of DRL-DBSCAN with the baselines in Table 3 and Table 4, respectively. Due to the action space of DRL-DBSCAN has the *stop* action, it can automatically terminate the search. To control the synchronization of baselines' experimental conditions, we use the average clustering rounds consumed when DRL-DBSCAN is automatically terminated as the maximum round of baselines for the corresponding task (30 for Table 3 and 16 for Table 4). The results show that the means of NMI scores of the training-based and testing-based search modes are improved by about 9% and 9% on average over multiple blocks, respectively, and the variances of performance are reduced by about 4% and 2%, respectively. Specifically, Table 3 firstly shows that similar to the offline tasks, DRL_{re}, which is based on re-training, still retains the significant advantage in online tasks. Secondly, compared DRL_{con} which is capable of continuous incremental learning with DRL_{re}, DRL_{con} has a performance improvement of up to 14% with a significant decrease in variance. Third, from Table 4, it can be found that in testing-based modes, DRL_{all} and DRL_{one} without labels (without reward function) can significantly exceed the baselines that require labels to establish the objective function. Fourth, DRL_{one} which is regularly maintained has higher performance and less variance than DRL_{all}. These results demonstrate the capability of DRL-DBSCAN to retain historical experience and the advantages of learnable DBSCAN parameter search for accuracy and stability. In addition, although KDist can determine parameters without labels and iterations, its accuracy is relatively low.

Efficiency Analysis. DRL_{all} and DRL_{one} automatically search for the optimal DBSCAN parameters (end point parameters) without labels. In order to better analyze these two testing-based parameter search modes, we compare the number of clustering rounds required of other methods to reach the NMI scores of the DRL_{all} end-point parameters in the online tasks (Fig. 6(a)). In the figure, the short vertical lines are the result variances. We can see that DRL_{all} reaches the optimal results within the consumption range of 11-14 rounds, while other baselines require more rounds when reaching the corresponding NMI. Moreover, many baselines' round consumption over different blocks fluctuates significantly, and the variance in the same block is also large. The above observation suggests that the parameter search efficiency of DRL-DBSCAN's testing-based modes without labels exceeds that of the baselines which require labels. Additionally, DRL_{con} consumes fewer rounds than DRL_{re} when reaching the same NMI, which also proves the advantage of DRL-DBSCAN's learning ability in terms of efficiency.

DRL-DBSCAN Variants. To better evaluate the design of states and rewards in Sec. 3.1, we compare two variants with DRL_{all} in the online tasks, namely DRL_{no-att} (state has no attention mechanism) and $DRL_{only-max}$ (reward only based on future maximum immediate reward). The results in Fig. 6(c) show that the full structure of DRL_{all} has better NMI scores than the variants, and brings the maximum performance increase of 0.16, which represents the necessity of setting the local state and end point immediate reward.

4.4 Hyperparameter Sensitivity

Fig. 7 shows the results of the offline evaluation of DRL_{re} on the Pathbased for four hyperparameters. Fig. 7(a) and Fig. 7(b) compare a set of parameter space sizes of *Eps* and *MinPts* involved in the Eq. (13), respectively. It can be found that the parameter space that

is too large or too small for *Eps* will cause performance loss and a decrease in search efficiency, while *MinPts* is less sensitive to the parameter space's size change. Fig. 7(c) analyzes the effect of different numbers of recursive layers on the search results. The results show that a suitable number of recurrent layers helps to obtain stable performance results. It is worth noting that the number of layers does not require much tuning, as we use the early-stop mechanism described in Sec. 3.3 to avoid overgrowing layers. Fig. 7(d) compares the different influence weights of end point immediate reward and future maximum immediate reward on the final reward (Eq.8). The results show that equalizing the contribution of the two immediate rewards to the final reward can help improve the performance of the DRL-DBSCAN.

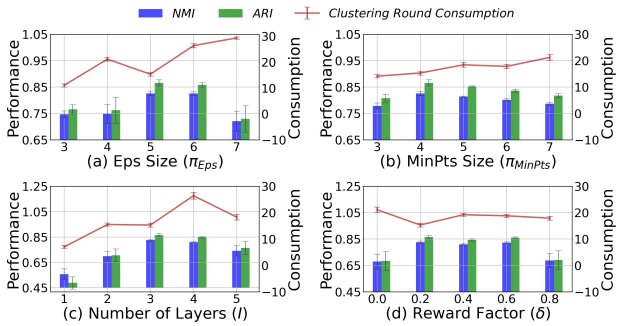


Figure 7: Parameter sensitivity.

5 RELATED WORK

Automatic DBSCAN parameter determination. DBSCAN is heavily dependent on two sensitive parameters (*Eps* and *MinPts*) requiring prior knowledge for tuning. Numerous works propose different solutions for tuning the above. OPTICS [3] is an extension of DBSCAN, which establishes cluster sorting based on reachability to obtain the *Eps*. However, it needs to pre-determine the appropriate value of *MinPts*, and the acquisition of *Eps* needs to interact with the user. V-DBSCAN [39] and KDDClus [40] plot the curve by using the sorted distance of any object to its k-th nearest object, and use the significant change on the curve as a series of candidate values for the *Eps* parameter. Similar methods include DSets-DBSCAN [26], Outlier [2] and RNN-DBSCAN [10], all of which require a fixed *MinPts* value or a predetermined the number of nearest neighbors k, and the obtained candidate *Eps* parameters may not be unique. Beside the above work, there are some works [12, 13] that consider combining DBSCAN with grid clustering to judge the density trend of raw samples according to the size and shape of each data region through pre-determined grid partition parameters. Although these methods reduce the difficulty of parameter selection to a certain extent, they still require the user to decide at least one parameter heuristically, making them inflexible in changing data.

Hyperparameter Optimization. For the parameters of DBSCAN, another feasible parameter decision method is based on the Hyperparameter Optimization (HO) algorithm. The classic HO methods are model-free methods, including grid search [12] that searches for all possible parameters, and random search [6] etc. Another approach is Bayesian optimization methods such as BO-TPE [5], SMAC [28], which optimize search efficiency using prior experience. In addition, meta-heuristic optimization methods, such as simulated annealing [32], genetic [36], particle swarm [46] and differential evolution [45], can solve non-convex, non-continuous and non-smooth optimization problems by simulating physical, biological and other processes to search [53]. Based on meta-heuristic optimization algorithms, some works propose HO methods for DBSCAN. BDE-DBSCAN [30] targets an external purity index, selects *MinPts* parameters based on a binary differential evolution algorithm, and selects *Eps* parameters using a tournament selection algorithm. MOGA-DBSCAN [17] proposes the outlier-index as a new internal index method for the objective function and selects parameters based on a multi-objective genetic algorithm. Although HO methods avoid handcrafted heuristic decision parameters, they require an accurate objective function (clustering external/internal metrics) and cannot cope with the problem of unlabeled data and the error of internal metrics. While DRL-DBSCAN can not only perform DBSCAN clustering state-aware parametric search based on the objective function, but also retain the learned search experience and conduct searches without the objective function.

Reinforcement Learning Clustering. Recently, some works that intersect Reinforcement Learning (RL) and clustering algorithms have been proposed. For example, MCTS Clustering [9] in particle physics task builds high-quality hierarchical clusters through Monte Carlo tree search to reconstruct primitive elementary particles from observed final-state particles. [24] which targets the health and medical domain leverages two clustering algorithms, and RL to cluster users who exhibit similar behaviors. Both of these works are field-specific RL clustering methods. Compared with DRL-DBSCAN, the Markov process they constructed is only applicable to fixed tasks, and is not a general clustering method. Besides the above work, [4] proposes an improved K-Means clustering algorithm that selects the weights of distance metrics in different dimensions through RL. Although this method effectively improves the performance of traditional K-Means, it needs to pre-determine the number of clusters k, which has limitations.

6 CONCLUSION

In this paper, we propose an adaptive DBSCAN parameter search framework based on Deep Reinforcement Learning. In the proposed DRL-DBSCAN framework, the agents that modulate the parameter search direction by sensing the clustering environment are used to interact with the DBSCAN algorithm. A recursive search mechanism is devised to avoid the search performance decline caused by a large parameter space. The experimental results of the four working modes demonstrate that the proposed framework not only has high accuracy, stability and efficiency in searching parameters based on the objective function, but also maintains an effective performance when searching parameters without external incentives.

ACKNOWLEDGMENTS

The authors of this paper were supported by the National Key R&D Program of China through grant 2021YFB1714800, NSFC through grants U20B2053 and 62002007, S&T Program of Hebei through grant 20310101D, and the Fundamental Research Funds for the Central Universities. Thanks for computing infrastructure provided by Huawei MindSpore platform.

REFERENCES

[1] 2022. scikit-opt. https://github.com/guofei9987/scikit-opt

[2] Zohreh Akbari and Rainer Unland. 2016. Automated determination of the input parameter of DBSCAN based on outlier detection. In *Ifip international conference on artificial intelligence applications and innovations*. Springer, 280–291.

[3] Mihael Ankerst, Markus M Breunig, Hans-Peter Kriegel, and Jörg Sander. 1999. OPTICS: Ordering points to identify the clustering structure. *ACM Sigmod record* 28, 2 (1999), 49–60.

[4] Abraham Bagherjeiran, Christoph F Eick, and Ricardo Vilalta. 2005. Adaptive clustering: Better representatives with reinforcement learning. *Department of Computer Science, University of Houston, Houston* (2005).

[5] James Bergstra, Rémi Bardenet, Yoshua Bengio, and Balázs Kégl. 2011. Algorithms for hyper-parameter optimization. *Advances in neural information processing systems* 24 (2011).

[6] James Bergstra and Yoshua Bengio. 2012. Random search for hyper-parameter optimization. *Journal of machine learning research* 13, 2 (2012).

[7] James Bergstra, Daniel Yamins, and David Cox. 2013. Making a science of model search: Hyperparameter optimization in hundreds of dimensions for vision architectures. In *International conference on machine learning*. PMLR, 115–123.

[8] Luuk Bom, Ruud Henken, and Marco Wiering. 2013. Reinforcement learning to train Ms. Pac-Man using higher-order action-relative inputs. In *2013 IEEE Symposium on Adaptive Dynamic Programming and Reinforcement Learning (ADPRL)*. IEEE, 156–163.

[9] Johann Brehmer, Sebastian Macaluso, Duccio Pappadopulo, and Kyle Cranmer. 2020. Hierarchical clustering in particle physics through reinforcement learning. *arXiv preprint arXiv:2011.08191* (2020).

[10] Avory Bryant and Krzysztof Cios. 2017. RNN-DBSCAN: A density-based clustering algorithm using reverse nearest neighbor density estimates. *IEEE Transactions on Knowledge and Data Engineering* 30, 6 (2017), 1109–1121.

[11] Hong Chang and Dit-Yan Yeung. 2008. Robust path-based spectral clustering. *Pattern Recognition* 41, 1 (2008), 191–203.

[12] Huang Darong and Wang Peng. 2012. Grid-based DBSCAN algorithm with referential parameters. *Physics Procedia* 24 (2012), 1166–1170.

[13] Kejing Diao, Yongquan Liang, and Jiancong Fan. 2018. An improved DBSCAN algorithm using local parameters. In *International CCF Conference on Artificial Intelligence*. Springer, 3–12.

[14] Gabriel Dulac-Arnold, Richard Evans, Hado van Hasselt, Peter Sunehag, Timothy Lillicrap, Jonathan Hunt, Timothy Mann, Theophane Weber, Thomas Degris, and Ben Coppin. 2015. Deep reinforcement learning in large discrete action spaces. *arXiv preprint arXiv:1512.07679* (2015).

[15] Martin Ester, Hans-Peter Kriegel, Jörg Sander, Xiaowei Xu, et al. 1996. A density-based algorithm for discovering clusters in large spatial databases with noise.. In *kdd*, Vol. 96. 226–231.

[16] Pablo A Estévez, Michel Tesmer, Claudio A Perez, and Jacek M Zurada. 2009. Normalized mutual information feature selection. *IEEE Transactions on neural networks* 20, 2 (2009), 189–201.

[17] Zeinab Falahiazar, Alireza Bagheri, and Midia Reshadi. 2021. Determining the Parameters of DBSCAN Automatically Using the Multi-Objective Genetic Algorithm. *J. Inf. Sci. Eng.* 37, 1 (2021), 157–183.

[18] Tianhui Fan, Naijing Guo, and Yujie Ren. 2021. Consumer clusters detection with geo-tagged social network data using DBSCAN algorithm: a case study of the Pearl River Delta in China. *GeoJournal* 86, 1 (2021), 317–337.

[19] Z Fan and Xiaolong Xu. 2019. Application and visualization of typical clustering algorithms in seismic data analysis. *Procedia Computer Science* 151 (2019), 171–178.

[20] Ziad Francis, Carmen Villagrasa, and Isabelle Clairand. 2011. Simulation of DNA damage clustering after proton irradiation using an adapted DBSCAN algorithm. *Computer methods and programs in biomedicine* 101, 3 (2011), 265–270.

[21] Pasi Fränti and Sami Sieranoja. 2018. K-means properties on six clustering benchmark datasets. *Applied intelligence* 48, 12 (2018), 4743–4759.

[22] Scott Fujimoto, Herke Hoof, and David Meger. 2018. Addressing function approximation error in actor-critic methods. In *International conference on machine learning*. PMLR, 1587–1596.

[23] Aristides Gionis, Heikki Mannila, and Panayiotis Tsaparas. 2007. Clustering aggregation. *Acm transactions on knowledge discovery from data (tkdd)* 1, 1 (2007), 4–es.

[24] Eoin Martino Grua and Mark Hoogendoorn. 2018. Exploring clustering techniques for effective reinforcement learning based personalization for health and wellbeing. In *2018 IEEE Symposium Series on Computational Intelligence (SSCI)*. IEEE, 813–820.

[25] Chun Guan, Yuen Kevin Kam Fung, and Yong Yue. 2018. Towards a Personalized Item Recommendation Approach in Social Tagging Systems Using Intuitionistic Fuzzy DBSCAN. In *2018 10th International Conference on Intelligent Human-Machine Systems and Cybernetics (IHMSC)*, Vol. 1. IEEE, 361–364.

[26] Jian Hou, Huijun Gao, and Xuelong Li. 2016. DSets-DBSCAN: A parameter-free clustering algorithm. *IEEE Transactions on Image Processing* 25, 7 (2016), 3182–3193.

[27] Mengxing Huang, Qili Bao, Yu Zhang, and Wenlong Feng. 2019. A hybrid algorithm for forecasting financial time series data based on DBSCAN and SVR. *Information* 10, 3 (2019), 103.

[28] Frank Hutter, Holger H Hoos, and Kevin Leyton-Brown. 2011. Sequential model-based optimization for general algorithm configuration. In *International conference on learning and intelligent optimization*. Springer, 507–523.

[29] Anssi Kanervisto, Christian Scheller, and Ville Hautamäki. 2020. Action space shaping in deep reinforcement learning. In *2020 IEEE Conference on Games (CoG)*. IEEE, 479–486.

[30] Amin Karami and Ronnie Johansson. 2014. Choosing DBSCAN parameters automatically using differential evolution. *International Journal of Computer Applications* 91, 7 (2014), 1–11.

[31] Mohammad Kazemi-Beydokhti, Rahim Ali Abbaspour, and Masoud Mojarab. 2017. Spatio-Temporal Modeling of Seismic Provinces of Iran Using DBSCAN Algorithm. *Pure & Applied Geophysics* 174, 5 (2017).

[32] Scott Kirkpatrick, C Daniel Gelatt Jr, and Mario P Vecchi. 1983. Optimization by simulated annealing. *science* 220, 4598 (1983), 671–680.

[33] Vijay R Konda and John N Tsitsiklis. 2000. Actor-critic algorithms. In *Proceedings of the NIPS*. 1008–1014.

[34] Urszula Kużelewska and Krzysztof Wichowski. 2015. A modified clustering algorithm DBSCAN used in a collaborative filtering recommender system for music recommendation. In *International conference on dependability and complex systems*. Springer, 245–254.

[35] Wenhao Lai, Mengran Zhou, Feng Hu, Kai Bian, and Qi Song. 2019. A new DBSCAN parameters determination method based on improved MVO. *Ieee Access* 7 (2019), 104085–104095.

[36] Stefan Lessmann, Robert Stahlbock, and Sven F Crone. 2005. Optimizing hyper-parameters of support vector machines by genetic algorithms.. In *IC-AI*. 74–82.

[37] Xinyan Li and Deren Li. 2007. Discovery of rules in urban public facility distribution based on DBSCAN clustering algorithm. In *MIPPR 2007: Remote Sensing and GIS Data Processing and Applications; and Innovative Multispectral Technology and Applications*, Vol. 6790. International Society for Optics and Photonics, 67902E.

[38] Timothy P Lillicrap, Jonathan J Hunt, Alexander Pritzel, Nicolas Heess, Tom Erez, Yuval Tassa, David Silver, and Daan Wierstra. 2015. Continuous control with deep reinforcement learning. *arXiv preprint arXiv:1509.02971* (2015).

[39] Peng Liu, Dong Zhou, and Naijun Wu. 2007. VDBSCAN: varied density based spatial clustering of applications with noise. In *2007 International conference on service systems and service management*. IEEE, 1–4.

[40] S. Mitra and Jay Nandy. 2011. KDDClus : A Simple Method for Multi-Density Clustering. In *Proceedings of International Workshop on Soft Computing Applications and Knowledge Discovery (SCAKD)*. 72–76.

[41] Nwayyin Najat Mohammed, Micheal Cawthorne, and Adnan Mohsin Abdulazeez. 2018. Detection of Genes Patterns with an Enhanced Partitioning-Based DBSCAN Algorithm. *Journal of information and communication engineering* 4, 1 (2018), 188–195.

[42] Martin Mundhenk, Judy Goldsmith, Christopher Lusena, and Eric Allender. 2000. Complexity of finite-horizon Markov decision process problems. *Journal of the ACM (JACM)* 47, 4 (2000), 681–720.

[43] Michalis Pavlis, Les Dolega, and Alex Singleton. 2018. A modified DBSCAN clustering method to estimate retail center extent. *Geographical Analysis* 50, 2 (2018), 141–161.

[44] Hao Peng, Ruitong Zhang, Shaoning Li, Yuwei Cao, Shirui Pan, and Philip Yu. 2022. Reinforced, incremental and cross-lingual event detection from social messages. *IEEE Transactions on Pattern Analysis and Machine Intelligence* (2022).

[45] A Kai Qin, Vicky Ling Huang, and Ponnuthurai N Suganthan. 2008. Differential evolution algorithm with strategy adaptation for global numerical optimization. *IEEE transactions on Evolutionary Computation* 13, 2 (2008), 398–417.

[46] Yuhui Shi and Russell C Eberhart. 1998. Parameter selection in particle swarm optimization. In *International conference on evolutionary programming*. Springer, 591–600.

[47] Abir Smiti and Zied Elouedi. 2012. Dbscan-gm: An improved clustering method based on gaussian means and dbscan techniques. In *2012 IEEE 16th international conference on intelligent engineering systems (INES)*. IEEE, 573–578.

[48] Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. 2017. Attention is all you need. *Advances in neural information processing systems* 30 (2017).

[49] Cor J. Veenman, Marcel J. T. Reinders, and Eric Backer. 2002. A maximum variance cluster algorithm. *IEEE Transactions on pattern analysis and machine intelligence* 24, 9 (2002), 1273–1280.

[50] Rahul Kumar Vijay and Satyasai Jagannath Nanda. 2019. A Variable ϵ-DBSCAN Algorithm for Declustering Earthquake Catalogs. In *Soft Computing for Problem Solving*. Springer, 639–651.

[51] Nguyen Xuan Vinh, Julien Epps, and James Bailey. 2010. Information theoretic measures for clusterings comparison: Variants, properties, normalization and correction for chance. *JMLR* 11 (2010), 2837–2854.

[52] Jiabin Wei et al. 2019. Commercial Activity Cluster Recognition with Modified DBSCAN Algorithm: A Case Study of Milan. In *2019 IEEE International Smart Cities Conference (ISC2)*. IEEE, 228–234.

[53] Li Yang and Abdallah Shami. 2020. On hyperparameter optimization of machine learning algorithms: Theory and practice. *Neurocomputing* 415 (2020), 295–316.
[54] Yan Yang, Bin Lian, Lian Li, Chen Chen, and Pu Li. 2014. DBSCAN clustering algorithm applied to identify suspicious financial transactions. In *2014 International Conference on Cyber-Enabled Distributed Computing and Knowledge Discovery*. IEEE, 60–65.
[55] Charles T Zahn. 1971. Graph-theoretical methods for detecting and describing gestalt clusters. *IEEE Transactions on computers* 100, 1 (1971), 68–86.
[56] Kun Zheng, Husheng Li, Robert C Qiu, and Shuping Gong. 2012. Multi-objective reinforcement learning based routing in cognitive radio networks: Walking in a random maze. In *2012 international conference on computing, networking and communications (ICNC)*. IEEE, 359–363.
[57] Hong Bo Zhou and Jun Tao Gao. 2014. Automatic method for determining cluster number based on silhouette coefficient. In *Advanced Materials Research*, Vol. 951. Trans Tech Publ, 227–230.
[58] X. Zhu. 2010. Stream Data Mining Repository. http://www.cse.fau.edu/~xqzhu/stream.html